

Neutrino Mixing

(A) Accelerator neutrino experiments

$$\nu_e \rightarrow \nu_\tau$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
< 0.77	90	¹ ARMBRUSTER98	KARM	
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 5.9	90	² ASTIER	01B NOMD	CERN SPS
< 7.5	90	³ ESKUT	01 CHRS	CERN SPS
< 17	90	NAPLES	99 CCFR	FNAL
< 44	90	TALEBZADEH 87	HLBC	BEBC
< 9	90	USHIDA	86C EMUL	FNAL

¹ ARMBRUSTER 98 use KARMEN detector with ν_e from muon decay at rest and observe $^{12}\text{C}(\nu_e, e^-)^{12}\text{N}_{gs}$. This is a disappearance experiment which is almost insensitive to $\nu_e \rightarrow \nu_\mu$ oscillation. Results are presented as limits to $\nu_e \rightarrow \nu_\tau$ oscillation, although the (non)oscillation could be to a non-visible flavor. A three-flavor analysis is also presented.

² ASTIER 01B searches for the appearance of ν_τ with the NOMAD detector at CERN's SPS. The limit is based on an oscillation probability $< 0.74 \times 10^{-2}$, whereas the quoted sensitivity was 1.1×10^{-2} . The limit was obtained following the statistical prescriptions of FELDMAN 98. See also the footnote to ESKUT 01.

³ ESKUT 01 searches for the appearance of the ν_τ with the CHORUS detector at CERN's SPS. The limit is obtained following the statistical prescriptions in JUNK 99. The limit would have been 6 eV^2 if the prescriptions in FELDMAN 98 had been followed, as they were in ASTIER 01B.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
< 0.015	90	⁴ ASTIER	01B NOMD	CERN SPS
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 0.052	90	⁵ ESKUT	01 CHRS	CERN SPS
< 0.21	90	NAPLES	99 CCFR	FNAL
< 0.338	90	⁶ ARMBRUSTER98	KARM	
< 0.36	90	TALEBZADEH 87	HLBC	BEBC
< 0.25	90	⁷ USHIDA	86C EMUL	FNAL

⁴ ASTIER 01B limit is based on an oscillation probability $< 0.74 \times 10^{-2}$, whereas the quoted sensitivity was 1.1×10^{-2} . The limit was obtained following the statistical prescriptions of FELDMAN 98. See also the footnote to ESKUT 01.

⁵ ESKUT 01 limit obtained following the statistical prescriptions in JUNK 99. The limit would have been 0.03 if the prescriptions in FELDMAN 98 had been followed, as they were in ASTIER 01B.

⁶ See footnote in preceding table (ARMBRUSTER 98) for further details, and see the paper for a plot showing allowed regions. A three-flavor analysis is also presented here.

⁷ USHIDA 86C published result is $\sin^2 2\theta < 0.12$. The quoted result is corrected for a numerical mistake incurred in calculating the expected number of ν_e CC events, normalized to the total number of neutrino interactions (3886) rather than to the total number of ν_μ CC events (1870).

————— $\bar{\nu}_e \rightarrow \bar{\nu}_\tau$ —————

$\sin^2(2\theta)$ for “Large” $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.7	90	⁸ FRITZE	80 HYBR	BEBC CERN SPS

⁸ Authors give $P(\nu_e \rightarrow \nu_\tau) < 0.35$, equivalent to above limit.

————— $\nu_e \not\leftrightarrow \nu_e$ —————

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
< 0.18	90	⁹ HAMPEL	98 GALX	⁵¹ Cr source
• • • We do not use the following data for averages, fits, limits, etc. • • •				
<40	90	¹⁰ BORISOV	96 CNTR	IHEP-JINR detector
<14.9	90	BRUCKER	86 HLBC	15-ft FNAL
< 8	90	BAKER	81 HLBC	15-ft FNAL
<56	90	DEDEN	81 HLBC	BEBC CERN SPS
<10	90	ERRIQUEZ	81 HLBC	BEBC CERN SPS
<2.3 OR >8	90	NEMETHY	81B CNTR	LAMPF

⁹ HAMPEL 98 analyzed the GALLEX calibration results with ⁵¹Cr neutrino sources and updates the BAHCALL 95 analysis result. They also gave 95% and 99% CL limits of < 0.2 and < 0.22, respectively.

¹⁰ BORISOV 96 exclusion curve extrapolated to obtain this value; however, it does not have the right curvature in this region.

$\sin^2(2\theta)$ for “Large” $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<7 × 10⁻²	90	¹¹ ERRIQUEZ	81 HLBC	BEBC CERN SPS
• • • We do not use the following data for averages, fits, limits, etc. • • •				
<0.4	90	¹² HAMPEL	98 GALX	⁵¹ Cr source
<0.115	90	¹³ BORISOV	96 CNTR	$\Delta(m^2) = 175 \text{ eV}^2$
<0.54	90	BRUCKER	86 HLBC	15-ft FNAL
<0.6	90	BAKER	81 HLBC	15-ft FNAL
<0.3	90	¹¹ DEDEN	81 HLBC	BEBC CERN SPS

¹¹ Obtained from a Gaussian centered in the unphysical region.

¹² HAMPEL 98 analyzed the GALLEX calibration results with ⁵¹Cr neutrino sources and updates the BAHCALL 95 analysis result. They also gave 95% and 99% CL limits of < 0.45 and < 0.56, respectively.

¹³ BORISOV 96 sets less stringent limits at large $\Delta(m^2)$, but exclusion curve does not have clear asymptotic behavior.

————— $\nu_e \rightarrow (\bar{\nu}_e)_L$ —————

This is a limit on lepton family-number violation and total lepton-number violation. $(\bar{\nu}_e)_L$ denotes a hypothetical left-handed $\bar{\nu}_e$. The bound is quoted in terms of $\Delta(m^2)$, $\sin(2\theta)$, and α , where α denotes the fractional admixture of (V+A) charged current.

$\alpha\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.14	90	¹⁴ FREEDMAN	93 CNTR	LAMPF

• • • We do not use the following data for averages, fits, limits, etc. • • •

<7 90 15 COOPER 82 HLBC BEBC CERN SPS

14 FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types ν_μ , $\bar{\nu}_\mu$, and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$.

15 COOPER 82 states that existing bounds on V+A currents require α to be small.

$\alpha^2 \sin^2(2\theta)$ for "Large" $\Delta(m^2)$

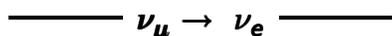
VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.032	90	16 FREEDMAN 93	CNTR	LAMPF

• • • We do not use the following data for averages, fits, limits, etc. • • •

<0.05 90 17 COOPER 82 HLBC BEBC CERN SPS

16 FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types ν_μ , $\bar{\nu}_\mu$, and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$.

17 COOPER 82 states that existing bounds on V+A currents require α to be small.



$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.09	90	ANGELINI 86	HLBC	BEBC CERN PS

• • • We do not use the following data for averages, fits, limits, etc. • • •

<2.4	90	AVVAKUNOV 02	NTEV	NUTEV FNAL
		18 AGUILAR 01	LSND	$\nu_\mu \rightarrow \nu_e$ osc.prob.
0.03 to 0.3	95	19 ATHANASSO...98	LSND	$\nu_\mu \rightarrow \nu_e$
<2.3	90	20 LOVERRE 96		CHARM/CDHS
<0.9	90	VILAIN 94C	CHM2	CERN SPS
<0.1	90	BLUMENFELD 89	CNTR	
<1.3	90	AMMOISOV 88	HLBC	SKAT at Serpukhov
<0.19	90	BERGSMA 88	CHRM	
		21 LOVERRE 88	RVUE	
<2.4	90	AHRENS 87	CNTR	BNL AGS
<1.8	90	BOFILL 87	CNTR	FNAL
<2.2	90	22 BRUCKER 86	HLBC	15-ft FNAL
<0.43	90	AHRENS 85	CNTR	BNL AGS E734
<0.20	90	BERGSMA 84	CHRM	
<1.7	90	ARMENISE 81	HLBC	GGM CERN PS
<0.6	90	BAKER 81	HLBC	15-ft FNAL
<1.7	90	ERRIQUEZ 81	HLBC	BEBC CERN PS
<1.2	95	BLIETSCHAU 78	HLBC	GGM CERN PS
<1.2	95	BELLOTTI 76	HLBC	GGM CERN PS

18 AGUILAR 01 is the final analysis of the LSND full data set. Search is made for the $\nu_\mu \rightarrow \nu_e$ oscillations using ν_μ from π^+ decay in flight by observing beam-on electron events from $\nu_e C \rightarrow e^- X$. Present analysis results in $8.1 \pm 12.2 \pm 1.7$ excess events in the $60 < E_e < 200$ MeV energy range, corresponding to oscillation probability of $0.10 \pm 0.16 \pm 0.04\%$. This is consistent, though less significant, with the previous result of ATHANASSOPOULOS 98, which it supersedes. The present analysis uses selection

criteria developed for the decay at rest region, and is less effective in removing the background above 60 MeV than ATHANASSOPOULOS 98.

- 19 ATHANASSOPOULOS 98 is a search for the $\nu_\mu \rightarrow \nu_e$ oscillations using ν_μ from π^+ decay in flight. The 40 observed beam-on electron events are consistent with $\nu_e C \rightarrow e^- X$; the expected background is 21.9 ± 2.1 . Authors interpret this excess as evidence for an oscillation signal corresponding to oscillations with probability $(0.26 \pm 0.10 \pm 0.05)\%$. Although the significance is only 2.3σ , this measurement is an important and consistent cross check of ATHANASSOPOULOS 96 who reported evidence for $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations from μ^+ decay at rest. See also ATHANASSOPOULOS 98B.
- 20 LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.
- 21 LOVERRE 88 reports a less stringent, indirect limit based on theoretical analysis of neutral to charged current ratios.
- 22 15ft bubble chamber at FNAL.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE (units 10^{-3})	CL%	DOCUMENT ID	TECN	COMMENT
< 1.6 (CL = 90%)		[$< 3.0 \times 10^{-3}$ (CL = 90%) OUR 2002 BEST LIMIT]		
< 1.6	90	AVVAKUNOV 02	NTEV	NUTEV FNAL
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
		23 AGUILAR 01	LSND	$\nu_\mu \rightarrow \nu_e$ osc.prob.
0.0005 to 0.03	95	24 ATHANASSO...98	LSND	$\nu_\mu \rightarrow \nu_e$
< 3.0	90	25 LOVERRE 96		CHARM/CDHS
< 9.4	90	VILAIN 94C	CHM2	CERN SPS
< 5.6	90	26 VILAIN 94C	CHM2	CERN SPS
< 16	90	BLUMENFELD 89	CNTR	
< 2.5	90	AMMOSOV 88	HLBC	SKAT at Serpukhov
< 8	90	BERGSMA 88	CHRM	$\Delta(m^2) \geq 30 \text{ eV}^2$
		27 LOVERRE 88	RVUE	
< 10	90	AHRENS 87	CNTR	BNL AGS
< 15	90	BOFILL 87	CNTR	FNAL
< 20	90	28 ANGELINI 86	HLBC	BEBC CERN PS
20 to 40		29 BERNARDI 86B	CNTR	$\Delta(m^2)=5-10$
< 11	90	30 BRUCKER 86	HLBC	15-ft FNAL
< 3.4	90	AHRENS 85	CNTR	BNL AGS E734
< 240	90	BERGSMA 84	CHRM	
< 10	90	ARMENISE 81	HLBC	GGM CERN PS
< 6	90	BAKER 81	HLBC	15-ft FNAL
< 10	90	ERRIQUEZ 81	HLBC	BEBC CERN PS
< 4	95	BLIETSCHAU 78	HLBC	GGM CERN PS
< 10	95	BELLOTTI 76	HLBC	GGM CERN PS

23 AGUILAR 01 is the final analysis of the LSND full data set of the search for the $\nu_\mu \rightarrow \nu_e$ oscillations. See footnote in preceding table for further details.

24 ATHANASSOPOULOS 98 report $(0.26 \pm 0.10 \pm 0.05)\%$ for the oscillation probability; the value of $\sin^2 2\theta$ for large Δm^2 is deduced from this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions. If effect is due to oscillation, it is most likely to be intermediate $\sin^2 2\theta$ and Δm^2 . See also ATHANASSOPOULOS 98B.

25 LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

26 VILAIN 94C limit derived by combining the ν_μ and $\bar{\nu}_\mu$ data assuming CP conservation.

- ²⁷ LOVERRE 88 reports a less stringent, indirect limit based on theoretical analysis of neutral to charged current ratios.
²⁸ ANGELINI 86 limit reaches 13×10^{-3} at $\Delta(m^2) \approx 2 \text{ eV}^2$.
²⁹ BERNARDI 86B is a typical fit to the data, assuming mixing between two species. As the authors state, this result is in conflict with earlier upper bounds on this type of neutrino oscillations.
³⁰ 15ft bubble chamber at FNAL.

$$\text{————— } \bar{\nu}_\mu \rightarrow \bar{\nu}_e \text{ —————}$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.055 (CL = 90%)		[<0.14 eV ² (CL = 90%) OUR 2002 BEST LIMIT]		
<0.055	90	³¹ ARMBRUSTER02	KAR2	Liquid Sci. calor.
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<2.6	90	AVVAKUNOV 02	NTEV	NUTEV FNAL
0.03–0.05		³² AGUILAR 01	LSND	LAMPF
0.05–0.08	90	³³ ATHANASSO...96	LSND	LAMPF
0.048–0.090	80	³⁴ ATHANASSO...95		
<0.07	90	³⁵ HILL 95		
<0.9	90	VILAIN 94C	CHM2	CERN SPS
<0.14	90	³⁶ FREEDMAN 93	CNTR	LAMPF
<3.1	90	BOFILL 87	CNTR	FNAL
<2.4	90	TAYLOR 83	HLBC	15-ft FNAL
<0.91	90	³⁷ NEMETHY 81B	CNTR	LAMPF
<1	95	BLIETSCHAU 78	HLBC	GGM CERN PS

³¹ ARMBRUSTER 02 is the final analysis of the KARMEN 2 data for 17.7 m distance from the ISIS stopped pion and muon neutrino source. It is a search for $\bar{\nu}_e$, detected by the inverter β -decay reaction on protons and ¹²C. 15 candidate events are observed, and 15.8 ± 0.5 background events are expected, hence no oscillation signal is detected. The results exclude large regions of the parameter area favored by the LSND experiment.

³² AGUILAR 01 is the final analysis of the LSND full data set. It is a search for $\bar{\nu}_e$ 30 m from LAMPF beam stop. Neutrinos originate mainly for π^+ decay at rest. $\bar{\nu}_e$ are detected through $\bar{\nu}_e p \rightarrow e^+ n$ ($20 < E_{e^+} < 60$ MeV) in delayed coincidence with $np \rightarrow d\gamma$. Authors observe $87.9 \pm 22.4 \pm 6.0$ total excess events. The observation is attributed to $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations with the oscillation probability of $0.264 \pm 0.067 \pm 0.045\%$, consistent with the previously published result. Taking into account all constraints, the most favored allowed region of oscillation parameters is a band of $\Delta(m^2)$ from 0.2–2.0 eV². Supersedes ATHANASSOPOULOS 95, ATHANASSOPOULOS 96, and ATHANASSOPOULOS 98.

³³ ATHANASSOPOULOS 96 is a search for $\bar{\nu}_e$ 30 m from LAMPF beam stop. Neutrinos originate mainly from π^+ decay at rest. $\bar{\nu}_e$ could come from either $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ or $\nu_e \rightarrow \bar{\nu}_e$; our entry assumes the first interpretation. They are detected through $\bar{\nu}_e p \rightarrow e^+ n$ ($20 \text{ MeV} < E_{e^+} < 60 \text{ MeV}$) in delayed coincidence with $np \rightarrow d\gamma$. Authors observe $51 \pm 20 \pm 8$ total excess events over an estimated background 12.5 ± 2.9 . ATHANASSOPOULOS 96B is a shorter version of this paper.

³⁴ ATHANASSOPOULOS 95 error corresponds to the 1.6σ band in the plot. The expected background is 2.7 ± 0.4 events. Corresponds to an oscillation probability of $(0.34^{+0.20}_{-0.18} \pm 0.07)\%$. For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

- ³⁵ HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and obtains only upper limits.
- ³⁶ FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types ν_μ , $\bar{\nu}_\mu$, and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$. FREEDMAN 93 replaces DURKIN 88.
- ³⁷ In reaction $\bar{\nu}_e p \rightarrow e^+ n$.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.0011 (CL = 90%)	[<0.004 (CL = 95%)	OUR 2002	BEST LIMIT]	
<0.0011	90	AVVAKUNOV 02	NTEV	NUTEV FNAL
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.0017	90	³⁸ ARMBRUSTER02	KAR2	Liquid Sci. calor.
0.0053 ± 0.0013 ± 0.009		³⁹ AGUILAR 01	LSND	LAMPF
0.0062 ± 0.0024 ± 0.0010		⁴⁰ ATHANASSO...96	LSND	LAMPF
0.003–0.012	80	⁴¹ ATHANASSO...95		
<0.006	90	⁴² HILL 95		
<4.8	90	VILAIN 94C	CHM2	CERN SPS
<5.6	90	⁴³ VILAIN 94C	CHM2	CERN SPS
<0.024	90	⁴⁴ FREEDMAN 93	CNTR	LAMPF
<0.04	90	BOFILL 87	CNTR	FNAL
<0.013	90	TAYLOR 83	HLBC	15-ft FNAL
<0.2	90	⁴⁵ NEMETHY 81B	CNTR	LAMPF
<0.004	95	BLIETSCHAU 78	HLBC	GGM CERN PS

- ³⁸ ARMBRUSTER 02 is the final analysis of the KARMEN 2 data. See footnote in the preceding table for further details, and the paper for the exclusion plot.
- ³⁹ AGUILAR 01 is the final analysis of the LSND full data set. The deduced oscillation probability is $0.264 \pm 0.067 \pm 0.045\%$; the value of $\sin^2 2\theta$ for large $\Delta(m^2)$ is twice this probability (although these values are excluded by other constraints). See footnote in preceding table for further details, and the paper for a plot showing allowed regions. Supersedes ATHANASSOPOULOS 95, ATHANASSOPOULOS 96, and ATHANASSOPOULOS 98.
- ⁴⁰ ATHANASSOPOULOS 96 reports $(0.31 \pm 0.12 \pm 0.05)\%$ for the oscillation probability; the value of $\sin^2 2\theta$ for large $\Delta(m^2)$ should be twice this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions.
- ⁴¹ ATHANASSOPOULOS 95 error corresponds to the 1.6σ band in the plot. The expected background is 2.7 ± 0.4 events. Corresponds to an oscillation probability of $(0.34^{+0.20}_{-0.18} \pm 0.07)\%$. For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.
- ⁴² HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and obtains only upper limits.
- ⁴³ VILAIN 94C limit derived by combining the ν_μ and $\bar{\nu}_\mu$ data assuming CP conservation.
- ⁴⁴ FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types ν_μ , $\bar{\nu}_\mu$, and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$. FREEDMAN 93 replaces DURKIN 88.
- ⁴⁵ In reaction $\bar{\nu}_e p \rightarrow e^+ n$.

————— $\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)$ —————

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.075	90	BORODOV...	92 CNTR	BNL E776

• • • We do not use the following data for averages, fits, limits, etc. • • •

<1.6	90	⁴⁶ ROMOSAN	97 CCFR	FNAL
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⁴⁶ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE (units 10 ⁻³)	CL%	DOCUMENT ID	TECN	COMMENT
<1.8	90	⁴⁷ ROMOSAN	97 CCFR	FNAL

• • • We do not use the following data for averages, fits, limits, etc. • • •

<3.8	90	⁴⁸ MCFARLAND	95 CCFR	FNAL
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<3	90	BORODOV...	92 CNTR	BNL E776
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⁴⁷ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

⁴⁸MCFARLAND 95 state that "This result is the most stringent to date for $250 < \Delta(m^2) < 450$ eV² and also excludes at 90%CL much of the high $\Delta(m^2)$ region favored by the recent LSND observation." See ATHANASSOPOULOS 95 and ATHANASSOPOULOS 96.

————— $\nu_\mu \rightarrow \nu_\tau$ —————

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
< 0.6	90	⁴⁹ ESKUT	01 CHRS	CERN SPS

• • • We do not use the following data for averages, fits, limits, etc. • • •

< 0.7	90	⁵⁰ ASTIER	01B NOMD	CERN SPS
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< 1.4	90	⁵¹ ALTEGOER	98B NOMD	CERN SPS
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< 1.5	90	⁵² ESKUT	98 CHRS	CERN SPS
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< 1.1	90	⁵³ ESKUT	98B CHRS	CERN SPS
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< 3.3	90	⁵⁴ LOVERRE	96	CHARM/CDHS
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< 1.4	90	MCFARLAND	95 CCFR	FNAL
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< 4.5	90	BATUSOV	90B EMUL	FNAL
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<10.2	90	BOFILL	87 CNTR	FNAL
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< 6.3	90	BRUCKER	86 HLBC	15-ft FNAL
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< 0.9	90	USHIDA	86C EMUL	FNAL
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< 4.6	90	ARMENISE	81 HLBC	GGM CERN SPS
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< 3	90	BAKER	81 HLBC	15-ft FNAL
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< 6	90	ERRIQUEZ	81 HLBC	BEBC CERN SPS
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< 3	90	USHIDA	81 EMUL	FNAL
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⁴⁹ESKUT 01 limit obtained following the statistical prescriptions in JUNK 99. The limit would have been 0.5 eV² if the prescriptions in FELDMAN 98 had been followed, as they were in ASTIER 01B.

⁵⁰ASTIER 01B limit is based on an oscillation probability $< 1.63 \times 10^{-4}$, whereas the quoted sensitivity was 2.5×10^{-4} . The limit was obtained following the statistical prescriptions of FELDMAN 98. See also the footnote to ESKUT 01.

⁵¹ALTEGOER 98B is the NOMAD 1995 data sample result, searching for events with $\tau^- \rightarrow e^- \nu_\tau \bar{\nu}_e$, $\text{hadron}^- \nu_\tau$, or $\pi^- \pi^+ \pi^-$ decay modes using classical CL approach of FELDMAN 98.

- ⁵² ESKUT 98 search for events with one μ^- with indication of a kink from τ^- decay in the nuclear emulsion. No candidates were found in a 31,423 event subsample.
- ⁵³ ESKUT 98B search for $\tau^- \rightarrow \mu^- \nu_\tau \bar{\nu}_\mu$ or $h^- \nu_\tau \bar{\nu}_\mu$, where h^- is a negatively charged hadron. The μ^- sample is somewhat larger than in ESKUT 98, which this result supercedes. Bayesian limit.
- ⁵⁴ LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.00033	90	⁵⁵ ASTIER	01B NOMD	CERN SPS
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.00068	90	⁵⁶ ESKUT	01 CHRS	CERN SPS
<0.0042	90	⁵⁷ ALTEGOER	98B NOMD	CERN SPS
<0.0035	90	⁵⁸ ESKUT	98 CHRS	CERN SPS
<0.0018	90	⁵⁹ ESKUT	98B CHRS	CERN SPS
<0.006	90	⁶⁰ LOVERRE	96	CHARM/CDHS
<0.0081	90	MCFARLAND	95 CCFR	FNAL
<0.06	90	BATUSOV	90B EMUL	FNAL
<0.34	90	BOFILL	87 CNTR	FNAL
<0.088	90	BRUCKER	86 HLBC	15-ft FNAL
<0.004	90	USHIDA	86C EMUL	FNAL
<0.11	90	BALLAGH	84 HLBC	15-ft FNAL
<0.017	90	ARMENISE	81 HLBC	GGM CERN SPS
<0.06	90	BAKER	81 HLBC	15-ft FNAL
<0.05	90	ERRIQUEZ	81 HLBC	BEBC CERN SPS
<0.013	90	USHIDA	81 EMUL	FNAL

- ⁵⁵ ASTIER 01B limit is based on an oscillation probability $< 1.63 \times 10^{-4}$, whereas the quoted sensitivity was 2.5×10^{-4} . The limit was obtained following the statistical prescriptions of FELDMAN 98. See also the footnote to ESKUT 01.
- ⁵⁶ ESKUT 01 limit obtained following the statistical prescriptions in JUNK 99. The limit would have been 0.00040 if the prescriptions in FELDMAN 98 had been followed, as they were in ASTIER 01B.
- ⁵⁷ ALTEGOER 98B is the NOMAD 1995 data sample result, searching for events with $\tau^- \rightarrow e^- \nu_\tau \bar{\nu}_e$, hadron $^- \nu_\tau$, or $\pi^- \pi^+ \pi^-$ decay modes using classical CL approach of FELDMAN 98.
- ⁵⁸ ESKUT 98 search for events with one μ^- with indication of a kink from τ^- decay in the nuclear emulsion. No candidates were found in a 31,423 event subsample.
- ⁵⁹ ESKUT 98B search for $\tau^- \rightarrow \mu^- \nu_\tau \bar{\nu}_\mu$ or $h^- \nu_\tau \bar{\nu}_\mu$, where h^- is a negatively charged hadron. The μ^- sample is somewhat larger than in ESKUT 98, which this result supercedes. Bayesian limit.
- ⁶⁰ LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

$$\text{————— } \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau \text{ —————}$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<2.2	90	ASRATYAN	81 HLBC	FNAL
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<1.4	90	MCFARLAND	95 CCFR	FNAL
<6.5	90	BOFILL	87 CNTR	FNAL
<7.4	90	TAYLOR	83 HLBC	15-ft FNAL

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<4.4 $\times 10^{-2}$	90	ASRATYAN	81	HLBC FNAL
• • • We do not use the following data for averages, fits, limits, etc. • • •				
<0.0081	90	MCFARLAND	95	CCFR FNAL
<0.15	90	BOFILL	87	CNTR FNAL
<8.8 $\times 10^{-2}$	90	TAYLOR	83	HLBC 15-ft FNAL

$$\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_\tau(\bar{\nu}_\tau)$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<1.5	90	⁶¹ GRUWE	93	CHM2 CERN SPS

⁶¹GRUWE 93 is a search using the CHARM II detector in the CERN SPS wide-band neutrino beam for $\nu_\mu \rightarrow \nu_\tau$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$ oscillations signalled by quasi-elastic ν_τ and $\bar{\nu}_\tau$ interactions followed by the decay $\tau \rightarrow \nu_\tau \pi$. The maximum sensitivity in $\sin^2 2\theta$ ($< 6.4 \times 10^{-3}$ at the 90% CL) is reached for $\Delta(m^2) \simeq 50$ eV².

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE (units 10^{-3})	CL%	DOCUMENT ID	TECN	COMMENT
<8	90	⁶² GRUWE	93	CHM2 CERN SPS

⁶²GRUWE 93 is a search using the CHARM II detector in the CERN SPS wide-band neutrino beam for $\nu_\mu \rightarrow \nu_\tau$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$ oscillations signalled by quasi-elastic ν_τ and $\bar{\nu}_\tau$ interactions followed by the decay $\tau \rightarrow \nu_\tau \pi$. The maximum sensitivity in $\sin^2 2\theta$ ($< 6.4 \times 10^{-3}$ at the 90% CL) is reached for $\Delta(m^2) \simeq 50$ eV².

$$\nu_\mu \nleftrightarrow \nu_\mu$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.23 OR >1500 OUR LIMIT				

<0.23 OR >100	90	DYDAK	84	CNTR
<13 OR >1500	90	STOCKDALE	84	CNTR
• • • We do not use the following data for averages, fits, limits, etc. • • •				
< 0.29 OR >22	90	BERGSMA	88	CHRM
<7	90	BELIKOV	85	CNTR Serpukhov
<8.0 OR >1250	90	STOCKDALE	85	CNTR
<0.29 OR >22	90	BERGSMA	84	CHRM
<8.0	90	BELIKOV	83	CNTR

$\sin^2(2\theta)$ for $\Delta(m^2) = 100\text{eV}^2$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.02	90	⁶³ STOCKDALE	85	CNTR FNAL

• • • We do not use the following data for averages, fits, limits, etc. • • •				
<0.17	90	⁶⁴ BERGSMA	88	CHRM
<0.07	90	⁶⁵ BELIKOV	85	CNTR Serpukhov
<0.27	90	⁶⁴ BERGSMA	84	CHRM CERN PS
<0.1	90	⁶⁶ DYDAK	84	CNTR CERN PS
<0.02	90	⁶⁷ STOCKDALE	84	CNTR FNAL
<0.1	90	⁶⁸ BELIKOV	83	CNTR Serpukhov

- ⁶³ This bound applies for $\Delta(m^2) = 100 \text{ eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $8 < \Delta(m^2) < 1250 \text{ eV}^2$.
- ⁶⁴ This bound applies for $\Delta(m^2) = 0.7\text{--}9. \text{ eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $0.28 < \Delta(m^2) < 22 \text{ eV}^2$.
- ⁶⁵ This bound applies for a wide range of $\Delta(m^2) > 7 \text{ eV}^2$. For some values of $\Delta(m^2)$, the value is less stringent; the least restrictive, nontrivial bound occurs approximately at $\Delta(m^2) = 300 \text{ eV}^2$ where $\sin^2(2\theta) < 0.13$ at CL = 90%.
- ⁶⁶ This bound applies for $\Delta(m^2) = 1\text{--}10. \text{ eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $0.23 < \Delta(m^2) < 90 \text{ eV}^2$.
- ⁶⁷ This bound applies for $\Delta(m^2) = 110 \text{ eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $13 < \Delta(m^2) < 1500 \text{ eV}^2$.
- ⁶⁸ Bound holds for $\Delta(m^2) = 20\text{--}1000 \text{ eV}^2$.

$$\text{----- } \bar{\nu}_\mu \not\rightarrow \bar{\nu}_\mu \text{ -----}$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN
<7 OR >1200 OUR LIMIT			
<7 OR >1200	90	STOCKDALE	85 CNTR

$\sin^2(2\theta)$ for $190 \text{ eV}^2 < \Delta(m^2) < 320 \text{ eV}^2$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.02	90	⁶⁹ STOCKDALE	85 CNTR	FNAL

- ⁶⁹ This bound applies for $\Delta(m^2)$ between 190 and 320 or = 530 eV^2 . Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $7 < \Delta(m^2) < 1200 \text{ eV}^2$.

$$\text{----- } \nu_\mu \rightarrow (\bar{\nu}_e)_L \text{ -----}$$

See note above for $\nu_e \rightarrow (\bar{\nu}_e)_L$ limit

$\alpha\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.16	90	⁷⁰ FREEDMAN	93 CNTR	LAMPF

- • • We do not use the following data for averages, fits, limits, etc. • • •

<0.7	90	⁷¹ COOPER	82 HLBC	BEC CERN SPS
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- ⁷⁰ FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types $\nu_\mu, \bar{\nu}_\mu,$ and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$. The limit on $\Delta(m^2)$ is better than the CERN BEC experiment, but the limit on $\sin^2\theta$ is almost a factor of 100 less sensitive.

- ⁷¹ COOPER 82 states that existing bounds on V+A currents require α to be small.

$\alpha^2\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.001	90	⁷² COOPER	82 HLBC	BEC CERN SPS

- • • We do not use the following data for averages, fits, limits, etc. • • •

<0.07	90	⁷³ FREEDMAN	93 CNTR	LAMPF
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⁷² COOPER 82 states that existing bounds on V+A currents require α to be small.

⁷³ FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types ν_μ , $\bar{\nu}_\mu$, and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$. The limit on $\Delta(m^2)$ is better than the CERN BEBC experiment, but the limit on $\sin^2\theta$

(B) Reactor $\bar{\nu}_e$ disappearance experiments

In most cases, the reaction $\bar{\nu}_e p \rightarrow e^+ n$ is observed at different distances from one or more reactors in a complex.

Events (Observed/Expected) from Reactor $\bar{\nu}_e$ Experiments

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
0.611 ± 0.085 ± 0.041	⁷⁴ EGUCHI	03 KLND	Japanese react ~ 180 km
1.01 ± 0.024 ± 0.053	⁷⁵ BOEHM	01	Palo Verde react. 0.75–0.89 km
1.04 ± 0.03 ± 0.08	⁷⁶ BOEHM	00C	Palo Verde react. 0.75–0.89 km
1.01 ± 0.028 ± 0.027	⁷⁷ APOLLONIO	99 CHOZ	Chooz reactors 1 km
0.987 ± 0.006 ± 0.037	⁷⁸ GREENWOOD	96	Savannah River, 18.2 m
0.988 ± 0.004 ± 0.05	ACHKAR	95 CNTR	Bugey reactor, 15 m
0.994 ± 0.010 ± 0.05	ACHKAR	95 CNTR	Bugey reactor, 40 m
0.915 ± 0.132 ± 0.05	ACHKAR	95 CNTR	Bugey reactor, 95 m
0.987 ± 0.014 ± 0.027	⁷⁹ DECLAIS	94 CNTR	Bugey reactor, 15 m
0.985 ± 0.018 ± 0.034	KUVSHINN...	91 CNTR	Rovno reactor
1.05 ± 0.02 ± 0.05	VUILLEUMIER	82	Gösigen reactor
0.955 ± 0.035 ± 0.110	⁸⁰ KWON	81	$\bar{\nu}_e p \rightarrow e^+ n$
0.89 ± 0.15	⁸⁰ BOEHM	80	$\bar{\nu}_e p \rightarrow e^+ n$
0.38 ± 0.21	^{81,82} REINES	80	
0.40 ± 0.22	^{81,82} REINES	80	

⁷⁴ EGUCHI 03 observe reactor neutrino disappearance at ~ 180 km baseline to various Japanese nuclear power reactors. See the footnote in the following table for further details, and the paper for the inclusion/exclusion plot.

⁷⁵ BOEHM 01 search for neutrino oscillations at 0.75 and 0.89 km distance from the Palo Verde reactors.

⁷⁶ BOEHM 00C search for neutrino oscillations at 0.75 and 0.89 km distance from the Palo Verde reactors.

⁷⁷ APOLLONIO 99, APOLLONIO 98 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors. They use $\bar{\nu}_e p \rightarrow e^+ n$ in Gd-loaded scintillator target. APOLLONIO 99 supersedes APOLLONIO 98.

⁷⁸ GREENWOOD 96 search for neutrino oscillations at 18 m and 24 m from the reactor at Savannah River.

⁷⁹ DECLAIS 94 result based on integral measurement of neutrons only. Result is ratio of measured cross section to that expected in standard V-A theory. Replaced by ACHKAR 95.

⁸⁰ KWON 81 represents an analysis of a larger set of data from the same experiment as BOEHM 80.

⁸¹ REINES 80 involves comparison of neutral- and charged-current reactions $\bar{\nu}_e d \rightarrow np\bar{\nu}_e$ and $\bar{\nu}_e d \rightarrow nne^+$ respectively. Combined analysis of reactor $\bar{\nu}_e$ experiments was performed by SILVERMAN 81.

⁸² The two REINES 80 values correspond to the calculated $\bar{\nu}_e$ fluxes of AVIGNONE 80 and DAVIS 79 respectively.

————— $\bar{\nu}_e \nrightarrow \bar{\nu}_e$ —————

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
>8	$\times 10^{-6}$ (CL = 95%)	[<7 $\times 10^{-4}$ eV ² (CL = 90%) OUR 2002 BEST LIMIT]		
>8	$\times 10^{-6}$ 95	83 EGUCHI	03 KLND	Japanese react \sim 180 km
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.0011	90	84 BOEHM	01	Palo Verde react. 0.75–0.89 km
<0.0011	90	85 BOEHM	00	Palo Verde react. 0.8 km
<0.0007	90	86 APOLLONIO	99 CHOZ	Chooz reactors 1 km
<0.01	90	87 ACHKAR	95 CNTR	Bugey reactor
<0.0075	90	88 VIDYAKIN	94	Krasnoyarsk reactors
<0.04	90	89 AFONIN	88 CNTR	Rovno reactor
<0.014	68	90 VIDYAKIN	87	$\bar{\nu}_e p \rightarrow e^+ n$
<0.019	90	91 ZACEK	86	Gösgen reactor

⁸³ EGUCHI 03 observe reactor neutrino disappearance at \sim 180 km baseline to various Japanese nuclear power reactors. This is the lower limit on the mass difference spread, unlike all other entries in this table. Observation is consistent with neutrino oscillations, with mass-mixing and mixing-angle parameters in the Large Mixing Angle Solution region of the solar neutrino problem.

⁸⁴ BOEHM 01, a continuation of BOEHM 00, is a disappearance search for neutrino oscillations at 0.75 and 0.89 km distance from the Palo Verde reactors. Result is less restrictive than APOLLONIO 99.

⁸⁵ BOEHM 00 is a disappearance search for neutrino oscillations at 0.75 and 0.89 km distance from Palo Verde reactors. The detection reaction is $\bar{\nu}_e p \rightarrow e^+ n$ in a segmented Gd loaded scintillator target. Result is less restrictive than APOLLONIO 99.

⁸⁶ APOLLONIO 99 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors. They use $\bar{\nu}_e p \rightarrow e^+ n$ in Gd-loaded scintillator target. APOLLONIO 99 supersedes APOLLONIO 98. This is the most sensitive search in terms of $\Delta(m^2)$ for $\bar{\nu}_e$ disappearance.

⁸⁷ ACHKAR 95 bound is for $L=15, 40,$ and 95 m.

⁸⁸ VIDYAKIN 94 bound is for $L=57.0$ m, 57.6 m, and 231.4 m. Supersedes VIDYAKIN 90.

⁸⁹ AFONIN 86 and AFONIN 87 also give limits on $\sin^2(2\theta)$ for intermediate values of $\Delta(m^2)$. (See also KETOV 92). Supersedes AFONIN 87, AFONIN 86, AFONIN 85, AFONIN 83, and BELENKII 83.

⁹⁰ VIDYAKIN 87 bound is for $L = 32.8$ and 92.3 m distance from two reactors.

⁹¹ This bound is from data for $L=37.9$ m, 45.9 m, and 64.7 m.

$\sin^2(2\theta)$ for “Large” $\Delta(m^2)$

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
>0.4	(CL = 95%)	[<0.02 (CL = 90%) OUR 2002 BEST LIMIT]		
>0.4	95	92 EGUCHI	03 KLND	Japanese react \sim 180 km
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.17	90	93 BOEHM	01	Palo Verde react. 0.75–0.89 km
<0.21	90	94 BOEHM	00	Palo Verde react. 0.8 km
<0.10	90	95 APOLLONIO	99 CHOZ	Chooz reactors 1 km
<0.24	90	96 GREENWOOD	96	
<0.04	90	96 GREENWOOD	96	For $\Delta(m^2) = 1.0$ eV ²
<0.02	90	97 ACHKAR	95 CNTR	For $\Delta(m^2) = 0.6$ eV ²
<0.087	68	98 VYRODOV	95 CNTR	For $\Delta(m^2) > 2$ eV ²

<0.15	90	⁹⁹ VIDYAKIN	94	For $\Delta(m^2) > 5.0 \times 10^{-2} \text{ eV}^2$
<0.2	90	¹⁰⁰ AFONIN	88	CNTR $\bar{\nu}_e p \rightarrow e^+ n$
<0.14	68	¹⁰¹ VIDYAKIN	87	$\bar{\nu}_e p \rightarrow e^+ n$
<0.21	90	¹⁰² ZACEK	86	$\bar{\nu}_e p \rightarrow e^+ n$
<0.19	90	¹⁰³ ZACEK	85	Gösgen reactor
<0.16	90	¹⁰⁴ GABATHULER	84	$\bar{\nu}_e p \rightarrow e^+ n$

⁹² EGUCHI 03 observe reactor neutrino disappearance at ~ 180 km baseline to various Japanese nuclear power reactors. This is the lower limit on $\sin^2 2\theta$, unlike all other entries in this table. It is based on the observed rate only; consideration of the spectrum shape results in somewhat more restrictive limit. Observation is consistent with neutrino oscillations, with mass-mixing and mixing-angle parameters in the Large Mixing Angle Solution region of the solar neutrino problem.

⁹³ BOEHM 01 search for neutrino oscillations at 0.75 and 0.89 km distance from the Palo Verde reactors. Continuation of BOEHM 00.

⁹⁴ BOEHM 00 search for neutrino oscillations at 0.75 and 0.89 km distance from Palo Verde reactors.

⁹⁵ APOLLONIO 99 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors.

⁹⁶ GREENWOOD 96 search for neutrino oscillations at 18 m and 24 m from the reactor at Savannah River by observing $\bar{\nu}_e p \rightarrow e^+ n$ in a Gd loaded scintillator target. Their region of sensitivity in $\Delta(m^2)$ and $\sin^2 2\theta$ is already excluded by ACHKAR 95.

⁹⁷ ACHKAR 95 bound is from data for $L=15, 40,$ and 95 m distance from the Bugey reactor.

⁹⁸ The VYRODOV 95 bound is from data for $L=15$ m distance from the Bugey-5 reactor.

⁹⁹ The VIDYAKIN 94 bound is from data for $L=57.0$ m, 57.6 m, and 231.4 m from three reactors in the Krasnoyarsk Reactor complex.

¹⁰⁰ Several different methods of data analysis are used in AFONIN 88. We quote the most stringent limits. Different upper limits on $\sin^2 2\theta$ apply at intermediate values of $\Delta(m^2)$. Supersedes AFONIN 87, AFONIN 85, and BELENKII 83.

¹⁰¹ VIDYAKIN 87 bound is for $L = 32.8$ and 92.3 m distance from two reactors.

¹⁰² This bound is from data for $L=37.9$ m, 45.9 m, and 64.7 m distance from Gosgen reactor.

¹⁰³ ZACEK 85 gives two sets of bounds depending on what assumptions are used in the data analysis. The bounds in figure 3(a) of ZACEK 85 are progressively poorer for large $\Delta(m^2)$ whereas those of figure 3(b) approach a constant. We list the latter. Both sets of bounds use combination of data from $37.9, 45.9,$ and 64.7 m distance from reactor. ZACEK 85 states "Our experiment excludes this area (the oscillation parameter region allowed by the Bugey data, CAVIGNAC 84) almost completely, thus disproving the indications of neutrino oscillations of CAVIGNAC 84 with a high degree of confidence."

¹⁰⁴ This bound comes from a combination of the VUILLEUMIER 82 data at distance 37.9 m from Gosgen reactor and new data at 45.9 m.

(C) Atmospheric neutrino observations

Neutrinos and antineutrinos produced in the atmosphere induce μ -like and e -like events in underground detectors. The ratio of the numbers of the two kinds of events is defined as μ/e . It has the advantage that systematic effects, such as flux uncertainty, tend to cancel, for both experimental and theoretical values of the ratio. The "ratio of the ratios" of experimental to theoretical μ/e , $R(\mu/e)$, or that of experimental to theoretical μ/total , $R(\mu/\text{total})$ with $\text{total} = \mu + e$, is reported below. If the actual value is not unity, the value obtained in a given experiment may depend on the experimental conditions.

$R(\mu/e) = (\text{Measured Ratio } \mu/e) / (\text{Expected Ratio } \mu/e)$

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.64 \pm 0.11 \pm 0.06$	105 ALLISON	99 SOU2	Calorimeter
$0.61 \pm 0.03 \pm 0.05$	106 FUKUDA	98 SKAM	sub-GeV
$0.66 \pm 0.06 \pm 0.08$	107 FUKUDA	98E SKAM	multi-GeV
	108 FUKUDA	96B KAMI	Water Cerenkov
$1.00 \pm 0.15 \pm 0.08$	109 DAUM	95 FREJ	Calorimeter
$0.60^{+0.06}_{-0.05} \pm 0.05$	110 FUKUDA	94 KAMI	sub-GeV
$0.57^{+0.08}_{-0.07} \pm 0.07$	111 FUKUDA	94 KAMI	multi-GeV
	112 BECKER-SZ...	92B IMB	Water Cerenkov

¹⁰⁵ ALLISON 99 result is based on an exposure of 3.9 kton yr, 2.6 times the exposure reported in ALLISON 97, and replaces that result.

¹⁰⁶ FUKUDA 98 result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained e -like events with $0.1 \text{ GeV}/c < p_e$ and μ -like events with $0.2 \text{ GeV}/c < p_\mu$, both having a visible energy $< 1.33 \text{ GeV}$. These criteria match the definition used by FUKUDA 94.

¹⁰⁷ FUKUDA 98E result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained single-ring events with visible energy $> 1.33 \text{ GeV}$ and partially contained events. All partially contained events are classified as μ -like.

¹⁰⁸ FUKUDA 96B studied neutron background in the atmospheric neutrino sample observed in the Kamiokande detector. No evidence for the background contamination was found.

¹⁰⁹ DAUM 95 results are based on an exposure of 2.0 kton yr which includes the data used by BERGER 90B. This ratio is for the contained and semicontained events. DAUM 95 also report $R(\mu/e) = 0.99 \pm 0.13 \pm 0.08$ for the total neutrino induced data sample which includes upward going stopping muons and horizontal muons in addition to the contained and semicontained events.

¹¹⁰ FUKUDA 94 result is based on an exposure of 7.7 kton yr and updates the HIRATA 92 result. The analyzed data sample consists of fully-contained e -like events with $0.1 < p_e < 1.33 \text{ GeV}/c$ and fully-contained μ -like events with $0.2 < p_\mu < 1.5 \text{ GeV}/c$.

¹¹¹ FUKUDA 94 analyzed the data sample consisting of fully contained events with visible energy $> 1.33 \text{ GeV}$ and partially contained μ -like events.

¹¹² BECKER-SZENDY 92B reports the fraction of nonshowering events (mostly muons from atmospheric neutrinos) as $0.36 \pm 0.02 \pm 0.02$, as compared with expected fraction $0.51 \pm 0.01 \pm 0.05$. After cutting the energy range to the Kamiokande limits, BEIER 92 finds $R(\mu/e)$ very close to the Kamiokande value.

$R(\nu_\mu) = (\text{Measured Flux of } \nu_\mu) / (\text{Expected Flux of } \nu_\mu)$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$0.72 \pm 0.026 \pm 0.13$	113 AMBROSIO	01 MCRO	upward through-going
$0.57 \pm 0.05 \pm 0.15$	114 AMBROSIO	00 MCRO	upgoing partially contained
$0.71 \pm 0.05 \pm 0.19$	115 AMBROSIO	00 MCRO	downgoing partially contained + upgoing stopping
$0.74 \pm 0.036 \pm 0.046$	116 AMBROSIO	98 MCRO	Streamer tubes
	117 CASPER	91 IMB	Water Cherenkov
	118 AGLIETTA	89 NUSX	
0.95 ± 0.22	119 BOLIEV	81	Baksan
0.62 ± 0.17	CROUCH	78	Case Western/UCI

113 AMBROSIO 01 result is based on the upward through-going muon tracks with $E_\mu > 1$ GeV. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration, is 6.17 years. The first error is the statistical error, the second is the systematic error, dominated by the theoretical error in the predicted flux.

114 AMBROSIO 00 result is based on the upgoing partially contained event sample. It came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to this sample is 4 GeV. The first error is statistical, the second is the systematic error, dominated by the 25% theoretical error in the rate (20% in the flux and 15% in the cross section, added in quadrature). Within statistics, the observed deficit is uniform over the zenith angle.

115 AMBROSIO 00 result is based on the combined samples of downgoing partially contained events and upgoing stopping events. These two subsamples could not be distinguished due to the lack of timing information. The result came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to this sample is 4 GeV. The first error is statistical, the second is the systematic error, dominated by the 25% theoretical error in the rate (20% in the flux and 15% in the cross section, added in quadrature). Within statistics, the observed deficit is uniform over the zenith angle.

116 AMBROSIO 98 result is for all nadir angles and updates AHLEN 95 result. The lower cutoff on the muon energy is 1 GeV. In addition to the statistical and systematic errors, there is a Monte Carlo flux error (theoretical error) of ± 0.13 . With a neutrino oscillation hypothesis, the fit either to the flux or zenith distribution independently yields $\sin^2 2\theta = 1.0$ and $\Delta(m^2) \sim$ a few times 10^{-3} eV^2 . However, the fit to the observed zenith distribution gives a maximum probability for χ^2 of only 5% for the best oscillation hypothesis.

117 CASPER 91 correlates showering/nonshowering signature of single-ring events with parent atmospheric-neutrino flavor. They find nonshowering ($\approx \nu_\mu$ induced) fraction is $0.41 \pm 0.03 \pm 0.02$, as compared with expected 0.51 ± 0.05 (syst).

118 AGLIETTA 89 finds no evidence for any anomaly in the neutrino flux. They define $\rho = (\text{measured number of } \nu_e \text{'s}) / (\text{measured number of } \nu_\mu \text{'s})$. They report $\rho(\text{measured}) = \rho(\text{expected}) = 0.96^{+0.32}_{-0.28}$.

119 From this data BOLIEV 81 obtain the limit $\Delta(m^2) \leq 6 \times 10^{-3} \text{ eV}^2$ for maximal mixing, $\nu_\mu \leftrightarrow \nu_\mu$ type oscillation.

$R(\mu/\text{total}) = (\text{Measured Ratio } \mu/\text{total}) / (\text{Expected Ratio } \mu/\text{total})$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$1.1^{+0.07}_{-0.12} \pm 0.11$	120 CLARK	97 IMB	multi-GeV

¹²⁰ CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.

$N_{\text{up}}(\mu)/N_{\text{down}}(\mu)$

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.52^{+0.07}_{-0.06} \pm 0.01$	¹²¹ FUKUDA	98E SKAM	multi-GeV

¹²¹ FUKUDA 98E result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained single-ring μ -like events with visible energy > 1.33 GeV and partially contained events. All partially contained events are classified as μ -like. Upward-going events are those with $-1 < \cos(\text{zenith angle}) < -0.2$ and downward-going events with those with $0.2 < \cos(\text{zenith angle}) < 1$. FUKUDA 98E result strongly deviates from an expected value of $0.98 \pm 0.03 \pm 0.02$.

$N_{\text{up}}(e)/N_{\text{down}}(e)$

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.84^{+0.14}_{-0.12} \pm 0.02$	¹²² FUKUDA	98E SKAM	multi-GeV

¹²² FUKUDA 98E result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained single-ring e -like events with visible energy > 1.33 GeV. Upward-going events are those with $-1 < \cos(\text{zenith angle}) < -0.2$ and downward-going events are those with $0.2 < \cos(\text{zenith angle}) < 1$. FUKUDA 98E result is compared to an expected value of $1.01 \pm 0.06 \pm 0.03$.

$\sin^2(2\theta)$ for given $\Delta(m^2)$ ($\nu_e \leftrightarrow \nu_\mu$)

For a review see BAHCALL 89.

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.6	90	¹²³ OYAMA	98 KAMI	$\Delta(m^2) > 0.1 \text{ eV}^2$
<0.5		¹²⁴ CLARK	97 IMB	$\Delta(m^2) > 0.1 \text{ eV}^2$
>0.55	90	¹²⁵ FUKUDA	94 KAMI	$\Delta(m^2) = 0.007\text{--}0.08 \text{ eV}^2$
<0.47	90	¹²⁶ BERGER	90B FREJ	$\Delta(m^2) > 1 \text{ eV}^2$
<0.14	90	LOSECCO	87 IMB	$\Delta(m^2) = 0.00011 \text{ eV}^2$

¹²³ OYAMA 98 obtained this result by an analysis of upward-going muons in Kamiokande. The data sample used is essentially the same as that used by HATAKEYAMA 98.

¹²⁴ CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.

¹²⁵ FUKUDA 94 obtained this result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande.

¹²⁶ BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antineutrino oscillations.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\nu_e \leftrightarrow \nu_\mu$)

VALUE (10^{-5} eV^2)	CL%	DOCUMENT ID	TECN
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
<560	90	¹²⁷ OYAMA	98 KAMI
<980		¹²⁸ CLARK	97 IMB
$700 < \Delta(m^2) < 7000$	90	¹²⁹ FUKUDA	94 KAMI
<150	90	¹³⁰ BERGER	90B FREJ

- 127 OYAMA 98 obtained this result by an analysis of upward-going muons in Kamiokande. The data sample used is essentially the same as that used by HATAKEYAMA 98.
- 128 CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.
- 129 FUKUDA 94 obtained this result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande.
- 130 BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antineutrino oscillations.

$\sin^2(2\theta)$ for given $\Delta(m^2)$ ($\bar{\nu}_e \leftrightarrow \bar{\nu}_\mu$)

VALUE (10^{-5} eV^2)	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

< 0.9	99	131 SMIRNOV	94	THEO $\Delta(m^2) > 3 \times 10^{-4} \text{ eV}^2$
< 0.7	99	131 SMIRNOV	94	THEO $\Delta(m^2) < 10^{-11} \text{ eV}^2$

131 SMIRNOV 94 analyzed the data from SN 1987A using stellar-collapse models. They also give less stringent upper limits on $\sin^2 2\theta$ for $10^{-11} < \Delta(m^2) < 3 \times 10^{-7} \text{ eV}^2$ and $10^{-5} < \Delta(m^2) < 3 \times 10^{-4} \text{ eV}^2$. The same results apply to $\bar{\nu}_e \leftrightarrow \bar{\nu}_\tau$, ν_μ , and ν_τ .

$\sin^2(2\theta)$ for given $\Delta(m^2)$ ($\nu_\mu \leftrightarrow \nu_\tau$)

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

> 0.8	90	132 AMBROSIO	01 MCRO	$\Delta(m^2) = 0.0006\text{--}0.015 \text{ eV}^2$
> 0.82	90	133 AMBROSIO	01 MCRO	$\Delta(m^2) = 0.001\text{--}0.006 \text{ eV}^2$
> 0.25	90	134 AMBROSIO	00 MCRO	$\Delta(m^2) > 3 \times 10^{-4} \text{ eV}^2$
> 0.4	90	135 FUKUDA	99C SKAM	$\Delta(m^2) = 0.001\text{--}0.1 \text{ eV}^2$
> 0.7	90	136 FUKUDA	99D SKAM	$\Delta(m^2) = 0.0015\text{--}0.015 \text{ eV}^2$
> 0.82	90	137 AMBROSIO	98 MCRO	$\Delta(m^2) \sim 0.0025 \text{ eV}^2$
> 0.82	90	138 FUKUDA	98C SKAM	$\Delta(m^2) = 0.0005\text{--}0.006 \text{ eV}^2$
> 0.3	90	139 HATAKEYAMA 98	KAMI	$\Delta(m^2) = 0.00055\text{--}0.14 \text{ eV}^2$
> 0.73	90	140 HATAKEYAMA 98	KAMI	$\Delta(m^2) = 0.004\text{--}0.025 \text{ eV}^2$
< 0.7		141 CLARK	97 IMB	$\Delta(m^2) > 0.1 \text{ eV}^2$
> 0.65	90	142 FUKUDA	94 KAMI	$\Delta(m^2) = 0.005\text{--}0.03 \text{ eV}^2$
< 0.5	90	143 BECKER-SZ...	92 IMB	$\Delta(m^2) = 1\text{--}2 \times 10^{-4} \text{ eV}^2$
< 0.6	90	144 BERGER	90B FREJ	$\Delta(m^2) > 1 \text{ eV}^2$

132 AMBROSIO 01 result is based on the angular distribution of upward through-going muon tracks with $E_\mu > 1$ GeV. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration, is 6.17 years. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits.

133 AMBROSIO 01 result is based on the angular distribution and normalization of upward through-going muon tracks with $E_\mu > 1$ GeV. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits. See the previous footnote.

134 AMBROSIO 00 obtained this result by using the upgoing partially contained event sample and the combined samples of downgoing partially contained events and upgoing stopping events. These data came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to these samples is 4 GeV. The maximum of the χ^2 probability (97%) occurs at maximal mixing and $\Delta(m^2) = (1 \sim 20) \times 10^{-3} \text{ eV}^2$.

- 135 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of $E_\mu > 1.6$ GeV, the observed flux of upward through-going muons is $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The zenith-angle dependence of the flux does not agree with no-oscillation predictions. For the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, FUKUDA 99C obtained the best fit at $\sin^2 2\theta=0.95$ and $\Delta(m^2)=5.9 \times 10^{-3} \text{ eV}^2$. FUKUDA 99C also reports 68% and 99% confidence-level allowed regions for the same hypothesis.
- 136 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(0.73 \pm 0.16 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The flux of upward through-going muons is taken from FUKUDA 99C. For the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, FUKUDA 99D obtained the best fit in the physical region at $\sin^2 2\theta=1.0$ and $\Delta(m^2)=3.9 \times 10^{-3} \text{ eV}^2$. FUKUDA 99D also reports 68% and 99% confidence-level allowed regions for the same hypothesis. FUKUDA 99D further reports the result of the oscillation analysis using the zenith-angle dependence of upward-stopping/through-going flux ratio. The best fit in the physical region is obtained at $\sin^2 2\theta=1.0$ and $\Delta(m^2)=3.1 \times 10^{-3} \text{ eV}^2$.
- 137 AMBROSIO 98 result is only 17% probable at maximum because of relatively low flux for $\cos\theta < -0.8$.
- 138 FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric-neutrino data which include the 25.5 kton yr data used by FUKUDA 98 (sub-GeV) and FUKUDA 98E (multi-GeV). Inside the physical region, the best fit was obtained at $\sin^2 2\theta=1.0$ and $\Delta(m^2)=2.2 \times 10^{-3} \text{ eV}^2$. In addition, FUKUDA 98C gave the 99% confidence interval, $\sin^2 2\theta > 0.73$ and $3 \times 10^{-4} < \Delta(m^2) < 8.5 \times 10^{-3} \text{ eV}^2$. FUKUDA 98C also tested the $\nu_\mu \rightarrow \nu_e$ hypothesis, and concluded that it is not favored.
- 139 HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of $E_\mu > 1.6$ GeV, the observed flux of upward through-going muon is $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(2.46 \pm 0.54 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. For the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, the best fit inside the physical region was obtained at $\sin^2 2\theta=1.0$ and $\Delta(m^2)=3.2 \times 10^{-3} \text{ eV}^2$.
- 140 HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande's contained events (FUKUDA 94) and upward-going muon events. The best fit was obtained at $\sin^2 2\theta=0.95$ and $\Delta(m^2)=1.3 \times 10^{-2} \text{ eV}^2$.
- 141 CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.
- 142 FUKUDA 94 obtained this result by a combined analysis of sub-and multi-GeV atmospheric neutrino events in Kamiokande.
- 143 BECKER-SZENDY 92 uses upward-going muons to search for atmospheric ν_μ oscillations. The fraction of muons which stop in the detector is used to search for deviations in the expected spectrum. No evidence for oscillations is found.
- 144 BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antineutrino oscillations.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\nu_\mu \leftrightarrow \nu_\tau$)

VALUE (10^{-5} eV^2)	CL%	DOCUMENT ID	TECN
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$60 < \Delta(m^2) < 1500$	90	145 AMBROSIO	01 MCRO
$100 < \Delta(m^2) < 600$	90	146 AMBROSIO	01 MCRO
> 35	90	147 AMBROSIO	00 MCRO
$100 < \Delta(m^2) < 5000$	90	148 FUKUDA	99C SKAM
$150 < \Delta(m^2) < 1500$	90	149 FUKUDA	99D SKAM
$50 < \Delta(m^2) < 600$	90	150 AMBROSIO	98 MCRO
$50 < \Delta(m^2) < 600$	90	151 FUKUDA	98C SKAM
$55 < \Delta(m^2) < 5000$	90	152 HATAKEYAMA	98 KAMI
$400 < \Delta(m^2) < 2300$	90	153 HATAKEYAMA	98 KAMI
< 1500		154 CLARK	97 IMB
$500 < \Delta(m^2) < 2500$	90	155 FUKUDA	94 KAMI
< 350	90	156 BERGER	90B FREJ

145 AMBROSIO 01 result is based on the angular distribution of upward through-going muon tracks with $E_\mu > 1$ GeV. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration, is 6.17 years. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits.

146 AMBROSIO 01 result is based on the angular distribution and normalization of upward through-going muon tracks with $E_\mu > 1$ GeV. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits. See the previous footnote.

147 AMBROSIO 00 obtained this result by using the upgoing partially contained event sample and the combined samples of downgoing partially contained events and upgoing stopping events. These data came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to these samples is 4 GeV. The maximum of the χ^2 probability (97%) occurs at maximal mixing and $\Delta(m^2) = (1 \sim 20) \times 10^{-3} \text{ eV}^2$.

148 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of $E_\mu > 1.6$ GeV, the observed flux of upward through-going muon is $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The zenith-angle dependence of the flux does not agree with no-oscillation predictions. For the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, FUKUDA 99C obtained the best fit at $\sin^2 2\theta = 0.95$ and $\Delta(m^2) = 5.9 \times 10^{-3} \text{ eV}^2$. FUKUDA 99C also reports 68% and 99% confidence-level allowed regions for the same hypothesis.

149 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(0.73 \pm 0.16 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The flux of upward through-going muons is taken from FUKUDA 99C. For the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, FUKUDA 99D obtained the best fit in the physical region at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.9 \times 10^{-3} \text{ eV}^2$. FUKUDA 99D also reports 68% and 99% confidence-level allowed regions for the same hypothesis. FUKUDA 99D further reports the result of the oscillation analysis using the zenith-angle dependence of upward-stopping/through-going flux ratio. The best fit in the physical region is obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.1 \times 10^{-3} \text{ eV}^2$.

150 AMBROSIO 98 result is only 17% probable at maximum because of relatively low flux for $\cos\theta < -0.8$.

- 151 FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric-neutrino data which include the 25.5 kton yr data used by FUKUDA 98 (sub-GeV) and FUKUDA 98E (multi-GeV). Inside the physical region, the best fit was obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 2.2 \times 10^{-3} \text{ eV}^2$. In addition, FUKUDA 98C gave the 99% confidence interval, $\sin^2 2\theta > 0.73$ and $3 \times 10^{-4} < \Delta(m^2) < 8.5 \times 10^{-3} \text{ eV}^2$. FUKUDA 98C also tested the $\nu_\mu \rightarrow \nu_e$ hypothesis, and concluded that it is not favored.
- 152 HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of $E_\mu > 1.6 \text{ GeV}$, the observed flux of upward through-going muon is $(1.94 \pm 0.10_{-0.06}^{+0.07}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(2.46 \pm 0.54 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. For the $\nu_\mu \rightarrow \nu_\tau$ hypothesis, the best fit inside the physical region was obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.2 \times 10^{-3} \text{ eV}^2$.
- 153 HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande's contained events (FUKUDA 94) and upward-going muon events. The best fit was obtained at $\sin^2 2\theta = 0.95$ and $\Delta(m^2) = 1.3 \times 10^{-2} \text{ eV}^2$.
- 154 CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy $> 0.95 \text{ GeV}$.
- 155 FUKUDA 94 obtained this result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande.
- 156 BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antineutrino oscillations.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\nu_\mu \rightarrow \nu_s$)

ν_s means ν_τ or any sterile (noninteracting) ν .

VALUE (10^{-5} eV^2)	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 3000 (or < 550)	90	157 OYAMA	89 KAMI	Water Cerenkov
< 4.2 or > 54 .	90	BIONTA	88 IMB	Flux has $\nu_\mu, \bar{\nu}_\mu, \nu_e,$ and $\bar{\nu}_e$

- 157 OYAMA 89 gives a range of limits, depending on assumptions in their analysis. They argue that the region $\Delta(m^2) = (100-1000) \times 10^{-5} \text{ eV}^2$ is not ruled out by any data for large mixing.

Search for $\nu_\mu \rightarrow \nu_s$

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
	158 AMBROSIO	01 MCRO	matter effects
	159 FUKUDA	00 SKAM	neutral currents + matter effects

- 158 AMBROSIO 01 tested the pure 2-flavor $\nu_\mu \rightarrow \nu_s$ hypothesis using matter effects which change the shape of the zenith-angle distribution of upward through-going muons. With maximum mixing and $\Delta(m^2)$ around 0.0024 eV^2 , the $\nu_\mu \rightarrow \nu_s$ oscillation is disfavored with 99% confidence level with respect to the $\nu_\mu \rightarrow \nu_\tau$ hypothesis.
- 159 FUKUDA 00 tested the pure 2-flavor $\nu_\mu \rightarrow \nu_s$ hypothesis using three complementary atmospheric-neutrino data samples. With this hypothesis, zenith-angle distributions are expected to show characteristic behavior due to neutral currents and matter effects. In the $\Delta(m^2)$ and $\sin^2 2\theta$ region preferred by the Super-Kamiokande data, the $\nu_\mu \rightarrow \nu_s$ hypothesis is rejected at the 99% confidence level, while the $\nu_\mu \rightarrow \nu_\tau$ hypothesis consistently fits all of the data sample.

(D) Solar ν Experiments

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ν_e Capture Rates from Radiochemical Experiments

1 SNU (Solar Neutrino Unit) = 10^{-36} captures per atom per second.

VALUE (SNU)	DOCUMENT ID	TECN	COMMENT
Average is meaningless.	[2.79 \pm 0.23 SNU OUR 2002 AVERAGE]		
70.8 $\begin{smallmatrix} + 5.3 & +3.7 \\ - 5.2 & -3.2 \end{smallmatrix}$	160 ABDURASHI...	02 SAGE	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
65.8 $\begin{smallmatrix} +10.2 & +3.4 \\ - 9.6 & -3.6 \end{smallmatrix}$	161 ALTMANN	00 GNO	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
74.1 $\begin{smallmatrix} + 6.7 \\ - 6.8 \end{smallmatrix}$	162 ALTMANN	00 GNO	GNO + GALX combined
77.5 $\begin{smallmatrix} \pm 6.2 & +4.3 \\ & -4.7 \end{smallmatrix}$	163 HAMPEL	99 GALX	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
2.56 \pm 0.16 \pm 0.16	164 CLEVELAND	98 HOME	$^{37}\text{Cl} \rightarrow ^{37}\text{Ar}$

160 ABDURASHITOV 02 report a combined analysis of 92 runs of the SAGE solar-neutrino experiment during the period January 1990 through December 2001, and updates the ABDURASHITOV 99B result. A total of 406.4 ^{71}Ge events were observed. No evidence was found for temporal variations of the neutrino capture rate over the entire observation period.

161 ALTMANN 00 report the first result from the GNO solar-neutrino experiment (GNO I), which is the successor project of GALLEX. Experimental technique of GNO is essentially the same as that of GALLEX. The run data cover the period 20 May 1998 through 12 January 2000.

162 Combined result of GALLEX I+II+III+IV (HAMPEL 99) and GNO I. The indicated errors include systematic errors.

163 HAMPEL 99 report the combined result for GALLEX I+II+III+IV (65 runs in total), which update the HAMPEL 96 result. The GALLEX IV result (12 runs) is $118.4 \pm 17.8 \pm 6.6$ SNU. (HAMPEL 99 discuss the consistency of partial results with the mean.) The GALLEX experimental program has been completed with these runs. The total run data cover the period 14 May 1991 through 23 January 1997. A total of 300 ^{71}Ge events were observed.

164 CLEVELAND 98 is a detailed report of the ^{37}Cl experiment at the Homestake Mine. The average solar neutrino-induced ^{37}Ar production rate from 108 runs between 1970 and 1994 updates the DAVIS 89 result.

ϕ_{ES} (^8B)

^8B solar-neutrino flux measured via ν_e elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to ν_μ, ν_τ due to the cross-section difference, $\sigma(\nu_{\mu,\tau} e) \sim 0.16\sigma(\nu_e e)$. If the ^8B solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is ~ 0.16 times of ν_e .

VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)	DOCUMENT ID	TECN	COMMENT
2.35 $\begin{smallmatrix} +0.07 \\ -0.06 \end{smallmatrix}$ OUR NEW AVERAGE	[(2.33 $\begin{smallmatrix} +0.08 \\ -0.07 \end{smallmatrix}$) $\times 10^6 \text{ cm}^{-2}\text{s}^{-1}$ OUR 2002 AVERAGE]		
2.39 $\begin{smallmatrix} +0.24 \\ -0.23 \end{smallmatrix} \pm 0.12$	165 AHMAD	02 SNO	average flux
2.35 $\pm 0.03 \begin{smallmatrix} +0.07 \\ -0.06 \end{smallmatrix}$	166 FUKUDA	02 SKAM	average flux

• • • We do not use the following data for averages, fits, limits, etc. • • •

$2.39 \pm 0.34^{+0.16}_{-0.14}$	167 AHMAD	01	SNO	average flux
$2.80 \pm 0.19 \pm 0.33$	168 FUKUDA	96	KAMI	average flux
2.70 ± 0.27	168 FUKUDA	96	KAMI	day flux
$2.87^{+0.27}_{-0.26}$	168 FUKUDA	96	KAMI	night flux

165 AHMAD 02 reports the ^8B solar-neutrino flux measured via νe elastic scattering above the kinetic energy threshold of 5 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001, and updates AHMAD 01 results.

166 FUKUDA 02 results are for 1496 live days with Super-Kamiokande between May 31, 1996 and July 15, 2002, and replace FUKUDA 01 results. The analysis threshold is 5 MeV except for the first 280 live days (6.5 MeV).

167 AHMAD 01 reports the ^8B solar-neutrino flux measured via νe elastic scattering above the kinetic energy threshold of 6.75 MeV. The data correspond to 241 live days with SNO between November 2, 1999 and January 15, 2001.

168 FUKUDA 96 results are for a total of 2079 live days with Kamiokande II and III from January 1987 through February 1995, covering the entire solar cycle 22, with threshold $E_e > 9.3$ MeV (first 449 days), > 7.5 MeV (middle 794 days), and > 7.0 MeV (last 836 days). These results update the HIRATA 90 result for the average ^8B solar-neutrino flux and HIRATA 91 result for the day-night variation in the ^8B solar-neutrino flux. The total data sample was also analyzed for short-term variations: within experimental errors, no strong correlation of the solar-neutrino flux with the sunspot numbers was found.

$\phi_{CC} (^8\text{B})$

^8B solar-neutrino flux measured with charged-current reaction which is sensitive exclusively to ν_e .

<u>VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
$1.76^{+0.06}_{-0.05} \pm 0.09$	169 AHMAD	02	SNO average flux

• • • We do not use the following data for averages, fits, limits, etc. • • •

$1.75 \pm 0.07^{+0.12}_{-0.11} \pm 0.05$	170 AHMAD	01	SNO	average flux
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169 AHMAD 02 reports the SNO result of the ^8B solar-neutrino flux measured with charged-current reaction on deuterium, $\nu_e d \rightarrow ppe^-$, above the kinetic energy threshold of 5 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001, and updates AHMAD 01 results.

170 AHMAD 01 reports the first SNO result of the ^8B solar-neutrino flux measured with the charged-current reaction on deuterium, $\nu_e d \rightarrow ppe^-$, above the kinetic energy threshold of 6.75 MeV. The data correspond to 241 live days with SNO between November 2, 1999 and January 15, 2001.

$\phi_{NC} (^8\text{B})$

^8B solar neutrino flux measured with neutral-current reaction, which is equally sensitive to ν_e , ν_μ , and ν_τ .

<u>VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
$5.09^{+0.44+0.46}_{-0.43-0.43}$	171 AHMAD	02	SNO average flux

171 AHMAD 02 reports the first SNO result of the ^8B solar-neutrino flux measured with the neutral-current reaction on deuterium, $\nu_\ell d \rightarrow n p \nu_\ell$, above the neutral-current reaction threshold of 2.2 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001.

$\phi_{\nu_\mu + \nu_\tau}$ (^8B)

Nonelectron-flavor active neutrino component (ν_μ and ν_τ) in the ^8B solar-neutrino flux.

VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)	DOCUMENT ID	TECN	COMMENT
$3.41 \pm 0.45^{+0.48}_{-0.45}$	172 AHMAD	02 SNO	Derived from SNO ϕ_{CC} , ϕ_{ES} , and ϕ_{NC}

• • • We do not use the following data for averages, fits, limits, etc. • • •

3.69 ± 1.13	173 AHMAD	01	Derived from SNO+SuperKam, water Cherenkov
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172 AHMAD 02 deduced the nonelectron-flavor active neutrino component (ν_μ and ν_τ) in the ^8B solar-neutrino flux, by combining the charged-current result, the νe elastic-scattering result and the neutral-current result.

173 AHMAD 01 deduced the nonelectron-flavor active neutrino component (ν_μ and ν_τ) in the ^8B solar-neutrino flux, by combining the SNO charged-current result (AHMAD 01) and the Super-Kamiokande νe elastic-scattering result (FUKUDA 01).

Total Flux of Active ^8B Solar Neutrinos

Total flux of active neutrinos (ν_e , ν_μ , and ν_τ).

VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)	DOCUMENT ID	TECN	COMMENT
$5.09^{+0.44+0.46}_{-0.43-0.43}$	174 AHMAD	02 SNO	Direct measurement from ϕ_{NC}
5.44 ± 0.99	175 AHMAD	01	Derived from SNO+SuperKam, water Cherenkov

174 AHMAD 02 determined the total flux of active ^8B solar neutrinos by directly measuring the neutral-current reaction, $\nu_\ell d \rightarrow n p \nu_\ell$, which is equally sensitive to ν_e , ν_μ , and ν_τ .

175 AHMAD 01 deduced the total flux of active ^8B solar neutrinos by combining the SNO charged-current result (AHMAD 01) and the Super-Kamiokande νe elastic-scattering result (FUKUDA 01).

Day-Night Asymmetry (^8B)

$$A = (\phi_{\text{night}} - \phi_{\text{day}}) / \phi_{\text{average}}$$

VALUE	DOCUMENT ID	TECN	COMMENT
Average is meaningless.	[0.052 \pm 0.024 OUR 2002 AVERAGE		Scale factor = 1.1]
$0.14 \pm 0.063^{+0.015}_{-0.014}$	176 AHMAD	02B SNO	Derived from SNO ϕ_{CC}
$0.07 \pm 0.049^{+0.013}_{-0.012}$	177 AHMAD	02B SNO	Constraint of no ϕ_{NC} asymmetry
$0.021 \pm 0.020^{+0.013}_{-0.012}$	178 FUKUDA	02 SKAM	Based on ϕ_{ES}

- 176 AHMAD 02B results are based on the charged-current interactions recorded between November 2, 1999 and May 28, 2001, with the day and night live times of 128.5 and 177.9 days, respectively.
- 177 AHMAD 02B results are derived from the charged-current interactions, neutral-current interactions, and νe elastic scattering, with the total flux of active neutrinos constrained to have no asymmetry. The data were recorded between November 2, 1999 and May 28, 2001, with the day and night live times of 128.5 and 177.9 days, respectively.
- 178 FUKUDA 02 results are for 1496 live days with Super-Kamiokande between May 31, 1996 and July 15, 2002, and replace FUKUDA 01 results. The analysis threshold is 5 MeV except for the first 280 live days (6.5 MeV).

ϕ_{ES} (hep)

hep solar-neutrino flux measured via νe elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to ν_{μ}, ν_{τ} due to the cross-section difference, $\sigma(\nu_{\mu, \tau} e) \sim 0.16\sigma(\nu_e e)$. If the hep solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is ~ 0.16 times of ν_e .

VALUE ($10^3 \text{ cm}^{-2}\text{s}^{-1}$)	CL%	DOCUMENT ID	TECN
<40	90	179 FUKUDA	01 SKAM

- 179 FUKUDA 01 result is obtained from the recoil electron energy window of 18–21 MeV, and the obtained 90% confidence level upper limit is 4.3 times the BP2000 Standard-Solar-Model prediction.

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FUKUDA	02	PL B539 179	S. Fukuda <i>et al.</i>	(Super-Kamiokande Collab.)
AGUILAR	01	PR D64 112007	A. Aguilar <i>et al.</i>	(LSND Collab.)
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AMBROSIO	01	PL B517 59	M. Ambrosio <i>et al.</i>	(MACRO Collab.)
ASTIER	01B	NP B611 3	P. Astier <i>et al.</i>	(NOMAD Collab.)
BOEHM	01	PR D64 112001	F. Boehm <i>et al.</i>	
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NAPLES	99	PR D59 031101	D. Naples <i>et al.</i>	(CCFR Collab.)
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BALLAGH	84	PR D30 2271	H.C. Ballagh <i>et al.</i>	(UCB, LBL, FNAL+)
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